

**GOVERNMENT OF INDIA**  
Directorate General of Health Services  
Central Drugs Standard Control Organization  
**(Global Clinical Trial Division)**  
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**File No: CT/17/000015**

To,

M/s Klinera Corporation India  
401, Hill View Industrial Estate, 4<sup>th</sup> Floor,  
Amrut Nagar Rd, Opp. L.B.S Marg, Ghatkopar (W),  
Mumbai-400086, India

**Subject: Permission for conducting a Phase IIb/III clinical trial titled “Combined Phase 2b/3, Double-Blind, Randomized, Placebo-Controlled Studies Evaluating the Efficacy and Safety of Filgotinib in the Induction and Maintenance of Remission in Subjects with Moderately to Severely Active Ulcerative Colitis ”– regarding.**

**Reference:** Your Application No. GCT/Form44/FF/2017/2086 (GCT/14/17) dated 17/Feb/2017 on the subject mentioned above.

Sir,

This Directorate has no objection to your conducting the subject mentioned clinical trial as per the provisions of Drugs & Cosmetics Rules under supervision of the investigators mentioned below and as per the **Protocol No: GS-US-418-3898, Amendment No. 02, dated 27/Oct/2016** submitted to this Directorate.

1. Dr. Mohd. Aejez Habeeb, Dept. of Gastroenterology & Hepatology, Deccan College of Medical Sciences, Owaisi Hospital and Research Centre, Kanchanbagh, Hyderabad-500058, India.
2. Dr. Rajiv Mehta, Surat Institute of Digestive Sciences, Vijay Nagar Gate No- 3, Besides Nirman Bhavan, Opp. Gandhi College, Majura Gate, Ring Road, Surat, Gujarat 395002.
3. Dr. Mukesh Kalla, SR Kalla Memorial Gastro & General Hospital, 78-79, Dhuleshwar Garden Behind HSBC Bank, Sardar Patel Marg C-Scheme, Jaipur, Rajasthan 302001.
4. Dr. Sandeep Nijhawan, SMS Hospital, JLN Marg, Jaipur, Rajasthan 302004.
5. Dr. G K Dhal, Institute of Post Graduate Medical Education and Research, Ronald Ross Building, Room No.17, 4<sup>th</sup> Floor, 244, A J C Bose Road, Kolkata-700020, West Bengal.
6. Dr. Tantry B Vishwanath, Dept. of Gastroenterology, Kasturba Medical College Hospital, Ambedkar circle, Mangalore, Karnataka-575001.
7. Dr. Shrikant Mukewar, Midas Multispeciality Hospital Pvt LTD, Midas Heights, 07, Central Bazar Road, Ramdaspath, Nagpur, Maharashtra-440010.
8. Dr. Kartikeya Parmar, Dept. of Medicine, B.J. Medical College and civil Hospital, Asarwa, Ahmedabad, Gujarat-380016.
9. Dr. VGM Prasad, VGM Hospital Institute of Gastroenterology, No: 2100, Trichy Road, Rajalakshmi Mills Stop, Coimbatore, Tamilnadu - 641005.
10. Dr. Chetan Mehta, Shri Giriraj Multispeciality Hospital, 27-Navjot Park Coner, 150 Feet Ring Road, Rajkot, Gujarat-360002.
11. Dr. Shanthi Vijayaraghavan, Sri Ramchandra Hospital, No:1, Ramchandra Nagar, Porur, Chennai, Tamil Nadu- 600116.
12. Dr. P. Murali Krishna, Dept. of Gastroenterology & Hepatology, King George Hospital, Andhra Medical College, Visakhapatnam, Andhra Pradesh-530002.
13. Dr. Santosh Hajare, KLES Dr. Prabhakar Kore Hospital & M.R.C, Nehru Nagar, Belagavi, Karnataka 590010.

Kindly note that the clinical trial permission is subject to the following conditions:

- a. Clinical trial shall be conducted in compliance with the approved protocols, requirements of Schedule Y, Good Clinical Practice Guidelines issued by this Directorate and other applicable regulations.
- b. Approval of the Ethics Committee shall be obtained before initiation of the study.
- c. Clinical trials shall be registered at Clinical trials Registry of India before enrolling the first patient for the study.
- d. Annual status report of each clinical trial, as to whether it is ongoing, completed or terminated, shall be submitted to the Licensing Authority, and in case of termination of any clinical trial the detailed reasons for the same shall be communicated to the said Licensing Authority.
- e. Any report of serious adverse event occurring during clinical trial study to the subject, after due analysis, shall be forwarded within fourteen days of its occurrence as per Appendix XI and in compliance with the procedures prescribed in Schedule Y.
- f. In case of an injury or death during the study to the subjects, the applicant shall provide complete medical management and compensation in the case of trial related injury or death in accordance with rule 122 DAB and the procedures prescribed under Schedule Y, and the details of compensation provided in such cases shall be intimated to the Licensing Authority within thirty days of the receipt of the order of the said authority.
- g. The premises of Sponsor including their employees, subsidiaries and branches, their agents, contractors and sub-contractors and clinical trial study sites shall be open to inspection by the officers authorized by the Central Drugs Standard Control Organization, who may be accompanied by an officer of the State Drug Control Authority concerned, to verify compliance to the requirements of Schedule Y, Good Clinical Practices guidelines for conduct of clinical trial in India and other applicable regulations.
- h. The Sponsor including their employees, subsidiaries and branches, their agents, contractors and sub-contractors and clinical trial study sites and the Investigator shall allow officers authorized by the Central Drugs Standard Control Organization, who may be accompanied by an officer of the State Drug Control Authority concerned, to enter with or without prior notice, any premises of Sponsor including their employees, subsidiaries and branches, their agents, contractors and sub-contractors and clinical trial sites to inspect, search and seize any record, data, document, books, investigational drugs, etc. related to clinical trial and provide adequate replies to any queries raised by the inspecting authority in relation to the conduct of clinical trial.
- i. Clinical trial shall be conducted only at those sites which are institutes/hospitals having adequate emergency facilities and duly registered Institutional Ethics committees.
- j. The details of payment/honorarium/financial support/fees paid by the Sponsor to the Investigator(s) for conducting the study shall be made available to this directorate before initiation of each of the trial sites.
- k. An audio-video recording of the informed consent process in case of vulnerable subjects in clinical trials of New Chemical Entity or New Molecular Entity including procedure of providing information to the subject and his understanding on such consent, shall be maintained by the investigator for record. Provided that in case of clinical trial of anti-HIV and anti-Leprosy drugs, only audio recording of the informed consent process of individual subject including the procedure of providing information to the subject and his understanding on such consent shall be maintained by the investigator for record.
- l. It may kindly be noted that merely granting permission to conduct clinical trials with the drug does not convey or imply that based on the clinical trial data generated with the drug, permission to market this drug in the country will automatically be granted to you.

Yours faithfully,

GYANENDRA  
NATH SINGH

Digitally signed by GYANENDRA  
NATH SINGH  
Date: 2017.07.19 15:42:40 +05'30'

**(Dr. G. N. Singh)**  
**Drugs Controller General (I)**